

<b>INFORMATION DISCLOSURE STATEMENT</b>  PTO-1449			ATTY. DOCKET NO. 26822-0002		SERIAL NO. 09/518,488		
			APPLICANTS: RONG, Chang-Liang, et al.				
			FILING DATE: 03/03/2000		GROUP: 2873		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	<del>2002/0182544</del>	<del>12/05/02</del>	<del>Chan Park, et al.</del>				
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY (Inventor)	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER				DATE CONSIDERED			
Tuyen Tra				2/25/2005			

Not  
considered

Duplicated  
with IDS  
filed on  
6/27/2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).



287

PATENT

Attorney Docket No. 26822-0002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application )

Inventor(s): LIANG, Rong-Chang, et al. )

Application No.: 09/518,488 )

Filed: March 3, 2000 )

Title: Electrophoretic Display and Novel  
Process for its Manufacture )

Art Unit: 2873

Examiner: TRA, Tuyen Q.

**RECEIVED**

SEP 15 2003

**INFORMATION DISCLOSURE STATEMENT**

OFFICE OF PETITIONS

**OFFICE OF PETITIONS**

Crystal Plaza 4  
Suite C323  
Arlington, Virginia 22202

Sir:

The attached IDS is being filed after a petition under 37 C.F.R. 1.313 and RCE, both filed on September 9, 2003. Please include this IDS in the file for the Examiner's review.

**Listed on an attached Form PTO-1449 is information known to applicant(s).**

The reference listed on the attached Form 1449 is a related US Patent Application. Applicants propose this document for consideration for completeness in cross-citation within the related applications.

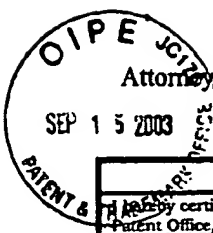
A copy of each listed publication and U.S. and foreign patent, except for pending non-published U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 CFR 1.97-1.98.

Applicants respectfully request that the information listed on the attached Form 1449 be considered by the Examiner and made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form 1449 substitute.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

RECEIVED  
SEP 17 2003  
TECHNICAL STAFF

344



Attorney Docket No. 26822-0002

PATENTS

CERTIFICATE OF HAND DELIVERY			
I hereby certify that this correspondence is being hand-delivered to the U.S. Patent and Trademark Office addressed to: <u>Office of Petitions</u> , U.S. Patent Office, Crystal City, Arlington, VA on			
Typed or printed name	<u>Victoria Messenger</u>		
Signature	<u>[Signature]</u>	Date	<u>9/15/03</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Rong-Chiang Liang )  
Serial No: 09/518,488 )  
Filed: March 3, 2000 )  
For: Electrophoretic Display and Novel Process )  
for Its Manufacture )

Examiner: 2873  
Group Art No.: TRA, Tuyen Q.

RECEIVED  
SEP 15 2003  
OFFICE OF PETITIONS  
RECEIVED  
SEP 17 2003  
U.S. PATENT & TRADEMARK OFFICE

OFFICE OF PETITIONS  
Crystal Plaza 4  
Suite C323  
Arlington, Virginia 22202

Sir:

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-entitled patent application are the following:

1. IDS W/ PTO 1449 Form/Copy of Cited reference
2. Return Receipt Postcard

Deposit Account Authorization

[X] Please charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 08-1641. This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed.

Respectfully submitted,  
[Signature]  
Stacy Ann Hegle  
Attorney for Applicants  
Reg. No. 50,687

Date: Sept. 12, 2003

Heller Ehrman White & McAuliffe  
275 Middlefield Road  
Menlo Park, California 94025-3506  
(650) 333-7385

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
  - ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
  - ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
  - ☒ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
  - ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
  - B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
  - C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 26822-0002).

Respectfully submitted,

Dated: Sept. 12, 2003

By:   
Stacy Ann Hegle  
Patent Agent, Reg No. 50,687

Heller Ehrman White & McAuliffe LLP  
275 Middlefield Road  
Menlo Park, CA 94025-3506  
(650) 324-7000  
Customer No. 25213  
SV 454628 v1  
9/11/03 1:36 PM (26822.0002)



<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>			ATTY. DOCKET NO. 26822-0002		SERIAL NO. 09/518,488		
			APPLICANTS: RONG, Chang-Liang, et al.				
			FILING DATE: March 3, 2000		GROUP: 2873		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
T.T.	4,891,245	01/1990	Micale	/	/	/	
T.T.	3,928,671	12/1975	Robusto et al.	/	/	/	
T.T.	6,525,865	02/ 2003	Katase	/	/	/	
T.T.	US Pub. No. 20020182544 (US 09/784,972)	12/5/2002	Chan-Park, Mary et al.	/	/	02/15/2001	
Not considered	US Pub. No. <del>20020126249</del> (US 09/759,212)	<del>09/12/2002</del>	<del>Liang, Rong, Chang et al.</del> Duplicated with IDS received on 09/09/2003			09/12/2001	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
T.T.	WO 00/36649	6/2000	PCT	/	/	<input type="checkbox"/>	<input type="checkbox"/>
T.T.	WO 98/57226	12/1998	PCT	/	/	<input type="checkbox"/>	<input type="checkbox"/>
T.T.	02284125	11/1990	Japan	/	/	<input type="checkbox"/>	<input checked="" type="checkbox"/>
T.T.	02223934	09/1990	Japan	/	/	<input type="checkbox"/>	<input checked="" type="checkbox"/>
T.T.	57104116	06/1982	Japan	/	/	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
T.T.	Hopper, M.A., et al., "An Electrophoretic Display, its Properties, Model, and Addressing", <i>IEEE Transactions on Electron Devices</i> -26(8):1148-1152 (1979)						
EXAMINER <b>TUYEN TRA</b>			DATE CONSIDERED <b>9.2.03</b>				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*If an asterisk is placed beside the reference, a copy is not provided because the reference was previously cited by or submitted to the PTO in a related application that is identical in the statement.



SHEET 1 OF 1

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>		ATTY. DOCKET NO. 07783.0002.NPUS00		SERIAL NO. 09/518,488			
		APPLICANTS: RONG, Chang-Liang, et al.					
		FILING DATE: 03/03/2000		GROUP: 2873			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
T.T.	6,319,381	Nov 2001	Nemelka	—	—	—	
T.T.	6,652,075	Nov 2003	Jacobson	—	—	—	
T.T.	6,524,153	Feb 2003	Ikeda et al	—	—	—	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY (Inventor)	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
T.T.	JP 01-300232	Dec 1989	Japan (English translation included)	—	—	—	
T.T.	JP 04-113386	Apr 1992	Japan (English translation included)	—	—		
T.T.	JP 59-034518	Feb 1984	Japan (English abstract included)	—	—		
T.T.	JP 62-099727	May 1987	Japan (English abstract included)	—	—		
T.T.	JP 02-223936	Sep 1990	Japan (English abstract included)	—	—		
T.T.	JP 09-160052	June 1997	Japan (English abstract included)	—	—		
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER <b>TUTEN TRA</b>			DATE CONSIDERED <b>2/24/2005</b>				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).